



SHEET 1 OF 4

INFORMATION DISCLOSURE CITATION				ATTY. DOCKET NO. SUN-P9323		APPLICATION NO. 10/637,166	
PTO-1449				APPLICANT Marc Tremblay et al.			
				FILING DATE 08 August 2003		GROUP ART UNIT 2183	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
BJ	6,460,124	10-2002	Kagi et al.	711	163		
BJ	2002/0087810	07-2002	Boatright et a.	711	145		
BJ	2004/0186970	09-2004	Kekre et al.	711	62		
BJ	2004/0162948	08-2004	Tremblay et al.	711	137		
BJ	2003/066056	04-2003	Petersen et al.	717	137		
BJ	6,578,088	06-2003	Singhal et al.	707	8		
BJ	6,360,220	03-2002	Forin	707	8		
BJ	5,940,827	08-1999	Hapner et al.	707	8		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
BJ	Publication entitled "Speculation-Based Techniques for Transactional Lock-Free Execution of Lock-Based Programs", by Ravi Rajwar, Online! 2002, XP002286237, Retrieved from the internet: URL: <a href="http://bbcr.uwaterloo.ca/{brecht/courses/856/readings-new/rajwar02speculationsbased.pdf">http://bbcr.uwaterloo.ca/{brecht/courses/856/readings-new/rajwar02speculationsbased.pdf</a>						
BJ	Publication entitled "Checkpoint Processing and Recovery: Towards Scalable Large Instruction Window Processors", by Haitham Akkary et al., Proceedings of the 36 <sup>th</sup> International Symposium on Microarchitecture, 2003, IEEE						
BJ	Publication entitled "Multiple Reservations and the Oklahoma Update", by Janice M. Stone et al., IEEE Parallel & Distributed Technology, November 1993, Pages 58-71.						
EXAMINER /Brian Johnson/				DATE CONSIDERED 06/27/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## SHEET 2 OF 4

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449				ATTY. DOCKET NO. SUN-P9330CIP		APPLICATION NO. 10/637,166	
				APPLICANT Marc Tremblay et al.			
				FILING DATE 08 August 2003		GROUP ART UNIT 2183	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
BJ	2003/0079094	07-2002	Rajwar et al.	711	150		
BJ	2002/0178349	11-2002	Shibayama et al.	712	235		
BJ	6,681,311	01-2004	Gaskins, et al.	711	203		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
BJ	Publication entitled "Improving the Throughput of Synchronization by Insertion of Delays", by Ravi Rajwar et al. Proceedings of the Sixth International Symposium on High-Performance Computer Architecture, 8-12 January 2000, Pages 168-179.						
BJ	Publication entitled "Checkpoint Processing and Recovery: An Efficient, Scalable Alternative to Reorder Buffers" Haitham Akkary et al. IEEE Computer Society, November-December 2003, Pages 11-19.						
BJ	Publication entitled "Multi-view Memory to Support OS Locking For Transaction Systems", P. Bodorik et al., IEEE, 1997, Pages 309-318.						
BJ	Publication entitled "Indexing for Multiversion Locking: Alternatives and Performance Evaluation", Paul M. Bober et al, IEEE Transactions on Knowledge and Data Engineering, Vol. 9, No1, January-February 1997, Pages 68-84.						
BJ	Publication entitled: "Transactional Execution: Toward Reliable, High-Performance Multithreading" by Ravi Rajwar et al. IEEE Computer Society, November-December 2003, Pages 117-125						
EXAMINER /Brian Johnson/				DATE CONSIDERED 06/27/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## SHEET 3 OF 4

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449				<b>ATTY. DOCKET NO.</b> SUN-P9330CIP		<b>APPLICATION NO.</b> 10/637,166		
				<b>APPLICANT</b> Marc Tremblay et al.				
				<b>FILING DATE</b> 08 August 2003		<b>GROUP ART UNIT</b> 2183		
<b>U.S. PATENT DOCUMENTS</b>								
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>		
BJ	6,941,449	09-2005	Ross	712	35			
BJ	6,021,480	03-2002	Forin	707	8			
BJ	6,918,012	07-2005	Venkitakrishnan et al	711	150			
BJ	5,701,432	12-1997	Wong et al.	711	130			
BJ	5,974,438	10-1999	Neufeld	718	104			
<b>FOREIGN PATENT DOCUMENTS</b>								
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>Translation</b>		
						<b>Yes</b>	<b>No</b>	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
BJ	"The Transaction Concept: Virtues and Limitations" by Jim Gray. Pro. Int'l Conf. Very Large Databases, Morgan Kaufman, 1981. pages 144-154							
BJ	"Toward Efficient and Robust Software Speculative Parallelization on Multiprocessors" by Marcelo Cintra and Diego R. Lianos, PPOPP' 03 June 11-13, 2003 ACM 1-58113-588-2/03/0006 pages 13-24.							
BJ	"Microsoft Computer Dictionary" Fifth Edition, pub 2002, page 378							
<b>EXAMINER</b>			/Brian Johnson/		<b>DATE CONSIDERED</b>			06/27/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b>  PTO-1449				ATTY. DOCKET NO. SUN-P9330CIP		APPLICATION NO. 10/637,166	
				APPLICANT Marc Tremblay et al.			
				FILING DATE 08 August 2003		GROUP ART UNIT 2183	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
BJ	6,185,577	02-2001	Nainani et al.				
BJ	5,428,761	06-1995	Herlihy et al.	711	130		
BJ	5,835,764	11-1998	Platt et al.	718	101		
BJ	5,742,785	04-1998	Stone et al.	712	217		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER                      /Brian Johnson/				DATE CONSIDERED                      06/27/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.